



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C25D 5/02, 17/00	A1	(11) International Publication Number: WO 98/14641 (43) International Publication Date: 9 April 1998 (09.04.98)
(21) International Application Number: PCT/US97/17682		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
(22) International Filing Date: 30 September 1997 (30.09.97)		
(30) Priority Data: 60/027,245 1 October 1996 (01.10.96) US		
(71) Applicant: SYMYX TECHNOLOGIES [US/US]; 420 Oakmead Parkway, Sunnyvale, CA 94086 (US).		
(72) Inventors: McFARLAND, Eric; 607 North Third Street, San Jose, CA 95112 (US). DANIELSON, Earl; 3840 Carina Way, Palo Alto, CA 94306 (US). DEVENNEY, Martin; 1758 Villa Street #14, Mountain View, CA 94041 (US). WARREN, Christopher, J.; Apartment 170, 600 Rainbow Drive, Mountain View, CA 94041 (US).		
(74) Agents: BECK, David, G. et al.; Townsend and Townsend and Crew LLP, 8th floor, Two Embarcadero Center, San Francisco, CA 94111 (US).		

(54) Title: POTENTIAL MASKING SYSTEMS AND METHODS FOR COMBINATORIAL LIBRARY SYNTHESIS

(57) Abstract

Methods and apparatus are provided for the preparation of a substrate having an array of diverse materials, the materials being deposited at spatially addressable, predefined regions. In particular, potential masking systems are provided which generate spatially and temporally varying electric, magnetic and chemical potentials across a substrate. These varying potentials are used to deposit components of source materials onto a substrate in a combinatorial fashion, thus creating arrays of materials that differ slightly in chemical composition, concentration, stoichiometry, and/or thickness. The diverse materials may be organized in discrete arrays, or they may vary continuously over the surface of the substrate. The shape of the potential allows the determination of the composition of the resulting materials at all locations on the substrate.

Published

*With international search report.**Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.*

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

5

POTENTIAL MASKING SYSTEMS AND METHODS FOR COMBINATORIAL LIBRARY SYNTHESIS

CROSS REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of commonly assigned, provisional
10 U.S. Patent Application Serial No. 60/027,245, filed October 2, 1996 (Attorney Docket
No. 16703-000500), the complete disclosure of which is incorporated herein by reference
for all purposes. This application is also related to commonly assigned, co-pending U.S.
Patent Application entitled "THE COMBINATORIAL SYNTHESIS OF NOVEL
MATERIALS", filed on April 22, 1997 (Attorney Docket No. 16703-000600), the
15 complete disclosure of which is incorporated herein by reference for all purposes.

FIELD OF THE INVENTION

The present invention generally relates to methods and apparatus for the
parallel deposition, synthesis and screening of an array of diverse materials at known
20 locations on a single substrate surface. More specifically, the invention is directed to
potential masking systems and methods for applying a spatially varying potential across a
substrate to deliver different materials to spatially addressable locations on the substrate.

BACKGROUND OF THE INVENTION

25 The discovery of new materials with novel chemical and physical properties
often leads to the development of new and useful technologies. Currently, there is a
tremendous amount of activity in the discovery and optimization of materials, such as
superconductors, zeolites, magnetic materials, phosphors, nonlinear optical materials,
thermoelectric materials, high and low dielectric materials and the like. Unfortunately,
30 even though the chemistry of extended solids has been extensively explored, few general
principles have emerged that allow one to predict with certainty the composition, structure,
and reaction pathways for the synthesis of such solid state compounds.

The preparation of new materials with novel chemical and physical properties is at best happenstance with our current level of understanding. Consequently, the discovery of new materials depends largely on the ability to synthesize and analyze new compounds. Given approximately 100 elements in the periodic table that can be used 5 to make compositions consisting of three, four, five, six or more elements, an incredibly large number of possible new compounds remains largely unexplored. As such, there exists a need in the art for a more efficient, economical and systematic approach for the synthesis of novel materials and for the screening of such materials for useful properties.

One of the processes whereby nature produces molecules having novel 10 functions involves the generation of large collections (libraries) of molecules and the systematic screening of those collections for molecules having a desired property. An example of such a process is the humoral immune system which in a matter of weeks sorts through some 10^{12} antibody molecules to find one which specifically binds a foreign pathogen (Nisonoff *et al.*, *The Antibody Molecule* (Academic Press, New York, 1975)). 15 This notion of generating and screening large libraries of molecules has recently been applied to the drug discovery process.

Using this logic, methods have been developed for the synthesis and screening of large libraries of up to 10^{14} molecules of peptides, oligonucleotides and other small molecules. Geysen *et al.*, for example, have developed a method wherein peptide 20 syntheses are carried out in parallel on several rods or pins (*J. Immun. Meth.* **102**:259-274 (1987), incorporated herein by reference for all purposes). Generally, the Geysen *et al.* method involves functionalizing the termini of polymeric rods and sequentially immersing the termini in solutions of individual amino acids. In addition to the Geysen *et al.* method, techniques have recently been introduced for synthesizing large arrays of different peptides 25 and other polymers on solid surfaces. Pirrung *et al.* have developed a technique for generating arrays of peptides and other molecules using, for example, light-directed, spatially-addressable synthesis techniques (U.S. Patent No. 5,143,854 and PCT Publication No. WO 90/15070, incorporated herein by reference for all purposes). In addition, Fodor *et al.* have developed, among other things, a method of gathering 30 fluorescence intensity data, various photosensitive protecting groups, masking techniques, and automated techniques for performing light-directed, spatially-addressable synthesis

techniques (Fodor *et al.*, PCT Publication No. WO 92/10092, the teachings of which are incorporated herein by reference for all purposes).

Using these various methods, arrays containing thousands or millions of different elements can be formed (U.S. Patent Application No. 08/805,727, filed 5 December 6, 1991, the complete disclosure of which is incorporated herein by reference for all purposes). As a result of their relationship to semiconductor fabrication techniques, these methods have come to be referred to as "Very Large Scale Immobilized Polymer Synthesis," or "VLSIPS™" technology. Such techniques have met with substantial success in screening various ligands such as peptides and oligonucleotides to determine their 10 relative binding affinity to a receptor such as an antibody.

The solid phase synthesis techniques currently being used to prepare such libraries involve the sequential coupling of building blocks to form the compounds of interest. For example, in the Pirrung *et al.* method polypeptide arrays are synthesized on a substrate by attaching photo-removable groups to the surface of the substrate, exposing 15 selected regions of the substrate to light to activate those regions, attaching an amino acid monomer with a photo-removable group to the activated region, and repeating the steps of activation and attachment until polypeptides of the desired length and sequence are synthesized. These solid phase synthesis techniques cannot readily be used to prepare many inorganic and organic compounds.

20 In PCT WO 96/11878, the complete disclosure of which is incorporated herein by reference, methods and apparatus are disclosed for preparing a substrate with an array of diverse materials deposited in predefined regions. Some of the methods of deposition disclosed in PCT WO 96/11878 include sputtering, ablation, evaporation, and liquid dispensing systems. Using the disclosed methodology, many classes of materials 25 can be generated combinatorially including inorganics, intermetallics, metal alloys, and ceramics.

In order to provide an efficient approach to synthesizing and screening new materials, a method of forming arrays of materials with varying chemical composition, concentration, stoichiometry and thickness at known locations on a substrate is desirable.

SUMMARY OF THE INVENTION

The present invention provides methods and apparatus for depositing various components onto a substrate to form an array of diverse materials, the materials being deposited in predefined regions. In particular, the present invention provides potential masking methods and systems which generate spatially varying electric, magnetic and/or chemical potentials across a substrate. These spatially varying potentials are used to deposit patterned combinatorial libraries in which the individual materials vary in chemical composition, concentration, stoichiometry, and/or thickness.

Once an array is prepared, a variety of characterization techniques may be used to rapidly screen and/or characterize the large number of materials contained on the array. A few of the material properties which may be easily investigated using this system include conductivity, super-conductivity, resistivity, thermal conductivity, anisotropy, hardness, crystallinity, optical transparency, magnetoresistance, permeability, frequency doubling, photoemission, coercivity, and dielectric strength. As a result of this rapid screening process, new compositions with new physical properties can be quickly identified. Once identified, a variety of well known methods can be used to prepare the materials on a larger scale.

Systems of the present invention comprise one or more source materials and a potential assembly for applying a spatially varying potential across a substrate, thus enabling components of the source materials to be deposited at spatially addressable locations on the substrate. The components may be deposited either in discrete arrays or in continuously varying patterns. Although the present invention potentially has the ability to create any number of different materials on a single array, typically an array will contain more than 9 different materials. Typically the array will contain at least 50 different materials, although for specific applications the array may contain more than 100 different materials, more than 10^3 different materials, more than 10^4 materials, more than 10^5 different materials, or more than 10^6 different materials.

In some embodiments of the invention, the system will comprise an array of discrete potential generating devices (e.g., electrodes, magnets) positioned adjacent to or embedded within the substrate. Each discrete potential generating device defines a library region or element on the substrate. By varying the potential at each device site, a slightly different component will be deposited onto the corresponding library region. In other

embodiments of the invention, the spatially varying potential is created by a continuously varying potential element, such as a resistive electrode or a diffusive transport matrix. In these embodiments, the potential element will cause components of the source material(s) to deposit in a continuously varying pattern onto the substrate.

5 In one embodiment of the invention, the potential assembly applies a spatially varying electric potential across a substrate to selectively deposit components of the source material onto spatially addressable locations. In this embodiment, the substrate is brought into contact with an ionic solution or vapor stream and the voltage and/or current density is varied across the substrate to produce a spatially varying electric potential. The source material is introduced into the ionic solution or vapor stream such that components of the source material electrochemically deposits onto the substrate in accordance with the spatially varying potential. Generally, deposition and oxidation or reduction of the charged species from the ionic solution or vapor stream occurs onto those library elements with sufficient electrical potential to overcome the requisite oxidation or 10 reduction potential.

15

The spatially varying electric potential may be applied to the substrate by an array of spatially addressable working electrodes coupled to or embedded within the substrate. Other methods of applying the spatially varying electric potential include a gradient-swept continuous electrode and/or an electrostatic micropattern. In one 20 embodiment utilizing working electrodes, the array may also include one or more reference electrodes, the reference electrodes being suitably positioned to provide the requisite potentials at the library regions (galvanostatic). In a second embodiment, each working electrode has a reference electrode and a counter electrode (potentiostatic). In other embodiments, the composition of the ionic solution or vapor stream is varied while 25 varying the relative voltages or currents applied to the individual library regions.

In another embodiment of the invention, the potential assembly applies a spatially varying magnetic potential across the substrate to selectively deposit components of the source material onto spatially addressable locations. In this embodiment magnetic field gradients can be produced by locating individually addressable electromagnets 30 adjacent to the individual library regions. Alternatively, the potential assembly may comprise one or more magnetic films with domain maps created to produce pre-determined magnetic field gradients across the substrate. Source materials, such as dry powders,

suspensions, or molecules, are delivered adjacent to the substrate and are attracted to the substrate in proportion to the spatially varying field strength and the magnetic moment of the individual components. The source materials in this embodiment may include any material with a sufficiently large magnetic moment (e.g., Fe, Co, Ni) or any material attached to such a magnetic carrier.

In yet another embodiment of the invention, the potential mask assembly applies a spatially varying chemical potential across the substrate to selectively deposit components of the source material onto spatially addressable locations on the substrate. In this embodiment, chemical potential gradients drive diffusion when the concentration of species varies in space. In a specific embodiment, the delivery system comprises an isotropic point molecular source positioned relative to the substrate such that the flux of molecules deposited on the substrate varies as the inverse square of the distance to the substrate. Thus, the spatial locations nearest the source receive the largest deposition rate and the spatial locations farthest away from the source receive the lowest deposition rate. Suitable techniques of generating a molecular source include laser deposition, electron beam evaporation, radiofrequency sputtering in a vacuum, aerosolized spray, evaporation in a fill gas, or a dispensed liquid point source. The transport matrix between the source and the substrate may comprise a vacuum space, liquid solvent, polyelectrolyte, neutral porous solid, or an intentionally heterogeneous material that creates a diffusion gradient.

Finally, the electrochemical arrays of the present invention may also be used as a screening technique. In this embodiment the individual electrodes comprising the arrays can be used to make electrochemical measurements and/or magnetic measurements of the materials located at the predefined regions (e.g., cyclic photometry, over-potential measurement, open circuit potential measurements, as well as other electrochemical measurements well known in the field).

A further understanding of the nature and advantages of the present invention may be realized by reference to the remaining portions of the specification and the attached drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 illustrates a discrete array of spatially addressable electrodes coupled to or embedded within a substrate;

5 Fig. 2 illustrates an alternative embodiment that employs potentiostatic control to apply a spatially varying electrical potential across a substrate;

Fig. 3 is an overview of the electric circuit for the potentiostatic control system illustrated in Fig. 2;

Fig. 4 illustrates an embodiment in which the source materials are deposited on a substrate having an array of spatially addressable electrodes;

10 Fig. 5 illustrates an embodiment utilizing a resistive electrode to achieve a continuously varying electric potential over the surface of the electrode;

Fig. 6 illustrates the resistive electrode configuration shown in Fig. 5 in which the electric potential at the electrical contacts has been changed to achieve a different deposition pattern;

15 Fig. 7 illustrates another embodiment of the present invention comprising a library synthesis apparatus incorporating an array of spatially addressable electromagnets;

Fig. 8 illustrates a method of depositing components onto a substrate with a varying chemical potential according to another embodiment of the present invention;

Fig. 9 illustrates the effects of moving the vapor source shown in Fig. 8;

20 Fig. 10 indicates the electrical potential at each electrode of a 4 x 4 array used during the first step of preparing 16 different materials according to the method of the present invention;

Fig. 11 indicates the electrical potential at each electrode of the 4 x 4 array of Fig. 10 during the second step of preparing 16 different materials;

25 Fig. 12 indicates the 16 different compositions obtained during the method illustrated in Figs. 10 and 11;

Fig. 13 illustrates the 4 x 4 spatially addressable array according to an alternate embodiment of the invention; and

30 Fig. 14 illustrates the different solution compositions and potentials applied to the array shown in Fig. 13.

8

DETAILED DESCRIPTION OF THE INVENTION AND PREFERRED EMBODIMENTS

Glossary

5 The following terms are intended to have the following general meanings as used herein.

Substrate: A substrate is a material having a rigid or semi-rigid surface. In many embodiments at least one surface of the substrate will be substantially flat. In some 10 embodiments the substrate will contain physical separations between synthesis regions for different materials. Suitable physical separations include, for example, dimples, wells, raised regions, and etched trenches. According to other embodiments, small beads or pellets may be provided on the surface, either alone or within substrate surface dimples. The surface area of the substrate is designed to meet the requirements of a particular 15 application. Typically, the surface area of the substrate is in the range of 1 cm² to 400 cm². However, other sizes may be used with the present invention, for example surface areas as small as 0.001 cm² or as large as 10 m² are possible.

Predefined Region: A predefined region is a localized area on a substrate which is, 20 was, or is intended to be used for the formation of a specific material. The predefined region may be referred to, in the alternative, as a "known" region, a "reaction" region, a "selected" region, or simply a "region." The predefined region may have any convenient shape, e.g., linear, circular, rectangular, elliptical, or wedge-shaped. Additionally, the predefined region can be a bead or pellet which is coated with the component(s) of 25 interest. In this embodiment, the bead or pellet can be identified with a tag, such as an etched binary bar code, that can be used to identify which components were deposited on the bead or pellet. The area of the predefined regions depends on the application and is typically smaller than about 25 cm². However, the predefined regions may be smaller than 30 10 cm², smaller than 5 cm², smaller than 1 cm², smaller than 1 mm², smaller than 0.5 mm², smaller than 10,000 µm², smaller than 1,000 µm², smaller than 100 µm², or even smaller than 10 µm².

Radiation: Radiation refers to energy with a wavelength between 10^{-14} and 10^4 . Examples of such radiation include electron beam radiation, gamma radiation, x-ray radiation, ultraviolet radiation, visible light, infrared radiation, microwave radiation, and radio waves. Irradiation refers to the application of radiation to a surface.

5

- Component: Component is used herein to refer to each of the individual chemical substances that are deposited onto a substrate. Components can act upon one another to produce a particular material. Components can react directly with each other or with an external energy source such as radiation, an electric field, or a magnetic field.
- 10 Components can also be acted upon by a third material or a chemical substance. A component can be an element, a chemical, a material, or a mixture of elements and chemicals. Components can form layers, blends or mixtures, or combinations thereof.

- Source Material: The term source material is used herein to refer to the original material from which a component was derived. Source materials can be composed of elements, compounds, chemicals, molecules, etc. that are dissolved in a solvent, vaporized, evaporated, boiled, sublimed, ablated, etc., thus allowing the source materials to deposit onto a substrate during the synthesis process.

- 20 Resulting Material: The term resulting material is used herein to refer to the component or combination of components that have been deposited onto a predefined region of a substrate. The resulting materials may comprise a single component, or a combination of components that have reacted directly with each other or with an external source. Alternatively, the resulting material may comprise a layer, blend or mixture of components on a predefined region of the substrate. The resulting materials are screened for specific properties or characteristics to determine their relative.
- 25

- Mixture or Blend: The term mixture or, interchangeably, blend refers to a collection of molecules, ions, electrons, or chemical substances. Each component in the mixture can be independently varied. A mixture can consist of two or more substances intermingled with no constant percentage composition, wherein each component may or may not retain its essential original properties, and where molecular phase mixing may or

10

may not occur. In mixtures, the components making up the mixture may or may not remain distinguishable from each other by virtue of their chemical structure.

5 Layer: The term layer is used herein to refer to a material that separates one material, component, substrate or environment from another. A layer is often thin in relation to its area and covers the material beneath it. A layer may or may not be thin or flat, but once it is deposited it generally covers the entire surface such that it separates the component or substrate below the layer from the component or environment above the layer.

10

Electrochemical Potential Arrays

In a first aspect of the invention, components of materials are deposited onto a substrate by varying the electric potential across the substrate. In one embodiment, the 15 electric potential is varied in time and/or space by varying the voltage or current density on each of a plurality of electrodes relative to one or more common reference electrode(s).

The array of electrodes form a library of spatially addressable locations or library elements on the substrate. Deposition and oxidation or reduction of the charged species from the fluid (or vapor stream) occurs onto those library elements with sufficient 20 electrical potential to overcome the requisite oxidation or reduction potential (and associated overpotentials). By changing the compositions of the ionic solutions (or vapor stream) and varying the relative voltages, regions of varying thickness and composition can be achieved over the entire library.

In an embodiment illustrated in Fig. 1, a discrete array of spatially 25 addressable electrodes 105 are coupled to or embedded within a substrate 110, each electrode 105 occupying a known location on the substrate. The electric potential of each electrode 105 is controlled individually or collectively in amplitude and time to generate a predefined spatial-temporal electric potential gradient across substrate 110. The array in this embodiment may also include one or more reference electrodes (not shown).

30 In an embodiment utilizing multiple reference electrodes (not shown), each of the reference electrodes is located adjacent to each of the spatially addressable working electrodes. If a single reference electrode is employed, the reference electrode may be

11

continuous and constant or, alternatively, continuous and varying. If a plurality of reference electrodes are employed, the electrodes are preferably discrete and varying. The plurality of spatially addressable electrodes and their individual electrical connections are patterned on or, alternatively, embedded within the surface of the substrate.

5 The working electrodes and the reference electrodes are independently coupled to one or more power supplies (not shown) for applying voltages independently to each electrode. The system may comprise a single, multi-channel power supply, or a plurality of power supplies for applying different potentials to each of the electrodes. Alternatively, the electrodes may be coupled to a single power supply. In this embodiment
10 current or power limiting elements, such as inductors, inductor-capacitor circuits, or resistors, are placed in series with each of the electrodes in order individually control the applied potential.

Fig. 2 illustrates an alternative embodiment that employs potentiostatic control to apply a spatially varying electrical potential across a substrate 205. In a
15 representative embodiment, substrate 205 includes an array of working electrodes 210 and an array of reference electrodes 215 positioned below a permeable membrane 220 and a counter electrode 225. A solution (or gas) of ionic molecular precursors fills the chamber surrounding the electrodes. The solution (or gas) may be varied for each deposition step.

Fig. 3 is an overview of the electric circuit for the potentiostatic control
20 system. As illustrated, a varying voltage 305 and a varying current 310 are applied to each pair of working and reference electrodes 210, 215 and counter electrode 225. The individual voltages and currents for each element are independently controlled such that electrochemical deposition of material from the solution (or gas) is varied at each region in the array.

25 In this embodiment, substrate 205 can be porous or non-porous and is comprised of one or more electrically insulating materials, such as polymers, plastics, pyrex, quartz, resins, silicon, silica, silica-based materials, carbon, inorganic glasses, inorganic crystals, and membranes. Other suitable substrate materials will be readily apparent to those of skill in the art. In an exemplary embodiment, the substrate comprises
30 silicon dioxide. The most appropriate substrate will depend on the electrodes employed and the class of materials to be synthesized. The spatially addressable electrodes are

preferably formed of a metal such as platinum, copper, aluminum, silver, or gold although a variety of other materials such as carbon or doped semiconductor may also be used.

In another embodiment, the electrolyte fluids are isolated around each electrode/counter electrode unit, thus creating an array of wells.

- 5 In another embodiment of the invention, continuous variations in the electric field are created using resistive substrates and electric field gradient deposition to deposit powders over selected library elements. In this process, powder accumulates in proportion to the electric field created by gradients in the charge distribution on the resistive substrate. Different charge distribution patterns can be created for each charged material source
10 material. Through repeated deposition steps, a variety of materials may be serially deposited.

Fig. 4 illustrates the above embodiment in which one or more source materials 405 are deposited on a substrate 410 having an array of spatially addressable electrodes 415. In this technique, electrode array 415 and substrate 410 are first
15 submersed in a fluid bath 420 comprising a solution of ionic species. Source materials 405 are then introduced into the bath 420 and an electric potential is applied to one of the electrodes within array 415 such that a first component of the source material is deposited onto that electrode. A second electric potential is applied to a second spatially addressable electrode within array 415 and a second component of the source material is deposited onto
20 that electrode. Those of skill in the art will readily appreciate that these steps are repeated multiple times until a diverse set of known components/materials are formed on the substrate at known locations. It should be understood that the different electrode potentials can be applied sequentially as described above, or simultaneously such that the various components are deposited substantially simultaneously onto array 415.

25 Another embodiment of the invention is illustrated in Fig. 5. In this embodiment a resistive electrode 505 is used in order to obtain a continuous spatial variation in the electric potential over the surface of the electrode. Resistive electrode 505 is formed of graphite, doped semiconductor, or other resistive material and is either coupled to or integral with the substrate. In addition, electrode 505 may actually be the
30 substrate. Resistive electrode 505 may or may not have individual discrete elements of conducting material deposited thereon, the discrete elements being tailored to specific chemical compounds. As with the previously described embodiment, a reference

electrode may be utilized which is continuous and constant or, alternatively, continuous and varying. As illustrated in Figs. 5 and 6, the electric potential field distribution varies substantially continuously in accordance with the current distribution determined by the location of a plurality of electrical contacts 510 and their voltages. In this case, electrode 5 505 provides a different electric potential at each point within the electrode due to the voltage drop across the material's resistance. Thus different deposition patterns, as illustrated in the examples of Figs. 5 and 6, may be obtained. As in the prior embodiments, repeating the deposition step with a variety of source materials allows a diverse set of components/materials to be formed on a single substrate.

10

Magnetic Potential Arrays

In another aspect of the invention, magnetic potentials can be used alone or 15 in combination with electrochemical masking for the preparation and deposition of a more limited, but very important, class of materials. Many magnetic transition metals (e.g., Fe, Co, Ni), or molecules, clusters, or other structures that contain a transition metal, have sufficiently large magnetic moments to be deposited using magnetic field gradients. Magnetic field arrays can be created by controllable electromagnet library elements or on 20 magnetic thin-film substrates, whereby the magnetic gradients are created in specific spatial patterns by selectively changing the film magnetization in much the same manner as information is written to hard disk drives. Dry powders, suspensions, or molecules possessing net magnetic moments will be attracted within the magnetic field gradient and accumulate onto the substrate in proportion to the field strength at each library element.

In an embodiment illustrated in Fig. 7, a spatially varying magnetic 25 potential is generated over a substrate 705 by an array of spatially addressable magnets 710, preferably electromagnets. Magnets 710 may or may not be attached to or part of substrate 705. Each magnet 710 is present at a known location and can be controlled independently of the other magnets in order to create a spatially varying magnetic field gradient. The magnetic field gradient provides the necessary force on the source materials 30 having a magnetic moment, thus depositing them on the substrate in a preprogrammed manner. Substrate 705 may be formed from a variety of materials including, for example, metals, polymers, silicon, silica, silica-based materials and ceramics. The most

14

appropriate substrate depends on the material to be created at the reaction site, the method of reaction, and the class of materials to be synthesized.

In use with the present invention, one or more source materials are provided adjacent to substrate 705 and array 710. The materials may be suspended in a fluid over the substrate, or delivered onto the substrate as dry particles. A first magnetic field is applied to a first magnet 715 of array 710, causing a first component 720 of the source material to be deposited. A second magnetic field having a different potential than the first magnetic field is then applied to a second magnet 725 causing a second component 730 of the source material to be deposited. Those of skill in the art will readily appreciate that these steps are repeated multiple times with multiple different components until a diverse set of known components/materials are formed on the substrate at known locations. Also, it should be understood that the magnetic fields can be applied sequentially or simultaneously.

In a further embodiment, the present invention provides a method for fixing the resulting materials onto the substrate at the deposition site defined by the controllable electromagnet. This fixation process may include, but is not limited to, heating the site or substrate and then illuminating the site or substrate with electrons or photons to initiate reaction. In addition, the site of deposition may be a conducting electrode. In this embodiment, a voltage applied to the electrode can be used to initiate an electrochemical reaction of the deposited materials, a hybridization of the electrochemical embodiment with the magnetic embodiment.

Chemical Potential Arrays

In another aspect of the invention, chemical potential gradients may be used alone or in combination with the magnetic and electrochemical potentials described above to deposit various materials onto a substrate. In this embodiment, chemical potential gradients drive diffusion when the concentration of species varies in space. This process is advantageously used in the present invention to achieve spatially varying libraries of chemical compounds.

In one embodiment, an isotropic point molecular source is positioned relative to the library substrate such that the flux of molecules deposited on the substrate

varies as the inverse square of the distance to the substrate. Therefore those spatial locations nearest the source receive the highest deposition rate and those locations furthest from the source receive the lowest deposition rate. Controlled motion of multiple sources allows large variations in deposition to be easily achieved. The molecular source and medium may comprise laser sputtering, electron beam evaporation, or RF sputtering in a vacuum, aerosolized spray or evaporation in a fill gas, or a dispensed point source in an unstirred liquid medium.

The components of the source materials are delivered from the source through a transport matrix and onto the substrate under the influence of a chemical potential gradient. The transport matrix can be, for example, a uniform vacuum space, liquid solvent, polyelectrolyte, neutral porous solid or an intentionally heterogeneous material (e.g., designed diffusion gradients). The matrix itself can provide a diffusion mask for source molecules such that deposition in specific library regions can be controlled by the diffusivity of the surrounding matrix. Mobility differences can be used in a multidimensional mode to first segregate molecules on the basis of their rate of movement under the influence of an electric or magnetic field and then, secondarily, an electrical potential can be made to attract the initially separated species to specific library elements.

Figs. 8 and 9 illustrate a spatially varying chemical gradient according to the present invention. The concentration gradient is generated by the relative geometric placement of the source material in relation to the substrate. In this embodiment the system comprises an inert substrate 805 (e.g., silicon) and a vapor source 810 (e.g., a nozzle). Due to the location of vapor source 810 relative to substrate 805, different rates of deposition are received at different spatial locations. Fig. 9 illustrates the technique of moving source 810 between consecutive deposition steps, thus achieving a spatially varying pattern of combinations of many different materials.

In another embodiment, the system comprises a polymer gel polyelectrolyte wherein the substrate is a conducting metal electrode. Ionic salts introduced at a finite source into the polyelectrolyte diffuse and establish a diffusion gradient whereby the concentration varies in a predetermined manner within the gel. The substrate within the gel is in contact with the materials at different concentrations, the concentrations being determined by the distance from the source as well as the time from the introduction of the source. The diffusing materials may be fixed to the substrate and another, different,

material introduced into the gel, either at the same position or at a different position. A new gel may also be used.

In another embodiment, a method for fixing the materials at a deposition site 815 includes heating the site or substrate and illuminating the site or substrate with electrons or photons to initiate reaction. In addition, the deposition site may be a conducting electrode and a voltage applied to the electrode can be used to initiate an electrochemical reaction of the deposited materials, a hybridization of the electrochemical embodiment with the chemical potential embodiment.

Lastly, the potential masking methods of combinatorial library design and deposition described above can be easily combined with other physical masking methods whereby libraries can be partially created using one method and then transferred to a different system for further deposition.

The invention will be described in greater detail by way of specific examples. The following examples are offered for illustrative purposes, and are not intended to limit the invention in any manner. Those of skill in the art will readily recognize a variety of non-critical parameters that can be changed or modified to yield essentially the same results.

Examples

20 Example 1

This example illustrates the use of an array of spatially addressable electrodes to prepare an array of 16 different resulting materials. In this example, an array of 16 platinum electrodes were fabricated on a silicon dioxide substrate and placed in a bath together with a saturated calomel reference electrode. A solution of 30 g/L boric acid, 0.005 M CuSO₄, and 0.5 M ZnSO₄ (i.e., the source material) was introduced into the chamber. Electrodes 1005 within the array and their electrical potentials are shown in Fig. 10.

Sufficient current was passed through the array to deposit approximately 100 nanometers in column four. No deposition occurred in column one. In column two, 30 only copper was deposited whereas both copper and zinc were deposited in the lower two rows of column three. Predominately zinc was deposited in row four even though the potential was such that both copper and zinc were favored. It is the higher concentration

17

of zinc that favors its deposition. Pure copper and pure zinc depositions can be achieved by using one ionic solution followed by the other.

Thereafter, the chamber was rinsed and a second solution was introduced. This time the solution contained 30 g/L boric acid, 0.005 M NiCl_2 , and 0.5 M FeSO_4 . The 5 electrode potentials were set as shown in Fig. 11. Only nickel was deposited in rows one and two of columns one, two, and four. Both nickel and iron were deposited in rows three and four of columns one, two, and four. Predominantly iron plated out in column three.

After approximately 100 nanometers was deposited in column three, the library was again rinsed. After only two bath changes, 16 different compositions were 10 obtained. An approximate ordering (most abundant to least) is illustrated in Fig. 11. In column one, the first two rows differ in their thickness and trace iron content.

It should be noted that each electrode can be charged for different lengths of time in order to achieve nearly uniform thickness for differing compositions. Moreover, more complicated ionic solutions and potential configurations are possible resulting in a 15 large variety of compositions. In this example, mixed solutions were shown to indicate how co-deposition of two (or more) metal cations can be achieved using solutions with mixtures of cations of differing reduction potentials. Single element deposition steps are easily accomplished using serial solutions containing single species.

Those of skill in the art will readily appreciate that processing can also be 20 done, if necessary, between depositions, *in situ*, by removing the solution of bulk carrier vapor and heating the developing substrate and/or passing oxidizing or reducing gases over the substrate to complete more complicated syntheses. Other ionic constituents can subsequently be reloaded into the deposition chamber and the process continued.

25 Example 2

This example illustrates the preparation of a library of II-VI based phosphors using the potential masking strategies of the present invention. Doped alkaline earth sulphides have shown promise as efficient flat panel display phosphors. Simple volatile compounds of the alkaline and rare earth precursors are difficult to obtain. As a 30 result, conventional thin-film deposition techniques have made little progress in this area. Simple, non-volatile ionic salts are easily obtained, however, and form the basis for a combinatorial synthesis of a family of phosphors. Again, by the systematic variation of

the electrode potentials and current densities and the sequential replacement of the solutions with an accompanying flow of H₂S, a combinatorial library of alkaline earth sulfides can be formed.

Numerous possibilities exist for significant phosphor development within the 5 II-VI family. Similar combinatorial strategies can be employed in the production of a wide range of materials including, but not limited to, the following:

- i) ZnS:dopant wherein the dopant is selected from the group consisting of Ag, Mg, Mn, Ni, Al, and rare earths;
- 10 ii) ZnSe:dopant wherein the dopant is selected from the group consisting of Ag, Mg, Mn, Ni, Al, and rare earths;
- iii) Cd_{1-x}Zn_xS:dopant wherein the dopant is selected from the group consisting of Ag, Mg, Mn, Ni, Al, and rare earths; and
- iv) ZnO:dopant wherein the dopant is selected from the group consisting of Ag, Mg, Mn, Ni, Al, and rare earths.

15 All of the foregoing combinations are amenable to electrochemical synthesis and doping.

Example 3

This example illustrates the synthesis of heterogeneous catalysts using 20 combinatorial alloy electro-deposition techniques. The use of transition metals and binary transition metal alloys as industrial catalysts is well known. Little, however, is known about the possible role of ternary and higher order metal-based alloys as catalytic materials. One example of an application of potential masking is in the programmed deposition of multiple metals on an electrode array with electrically isolated and 25 individually controllable electrodes (continuous electrode gradients could also be used).

The combinatorial library is then created using either:

- i) Sequential, combinatorial mixtures of transition metal salts resulting in a number of different stoichiometries deposited at the electrode(s); or
- 30 ii) Combinatorial I/V sequencing of the electrode arrays resulting in a variety of different multi-alloys deposited at the electrode(s), e.g., Pt_xRh_yIr_z @ V_{1,j1}, Pt_xRh_yIr_z @ V_{2,j2}, etc.

During the process of simultaneous metal electro-deposition, the ratios of the current densities with which the various metals are deposited are functions of the metals' exchange-current densities, transfer coefficients, and differences among their equilibrium potentials. The larger the value of a metal's exchange-current density and the more positive its equilibrium potential, the greater will be its rate of deposition. Even in the case where a particular metal's deposition is not favored by its equilibrium potential (more negative) or exchange current (lower), the metal's rate of deposition can be increased if its transfer coefficient is greater than the others. In most alloy electro-depositions, the predominance for deposition of one metal ion over another is a strongly potential-dependent process due to the different Tafel slopes of the individual species. By careful control and alteration of both the supporting electrolyte and the electrode materials, the potential masking strategies disclosed herein are capable of producing a large number of higher order alloy systems.

Another manner by which a metal with a less positive equilibrium potential can be selectively deposited to a greater extent is by limiting the mass transport of the more positive metal species in the electrolyte. Such transport masking of the metal salts provides another means with which the electro-deposited alloys can be combinatorialized.

Example 4

This example illustrates the use of the potential masking of the present invention and electro-polymerization to prepare combinatorial libraries of optically useful polymers. Most optically and electronically useful polymers are based upon conjugated polymer structures. However, such polymer systems suffer from poor stability resulting from a number of destructive, photo-redox processes. Additional complications arise due to the limited solubilities and, therefore, processability of these conjugated polymer systems. Extensive synthetic exploration is required to stabilize and solubilize useful polymers based on monomers such as thiophenes, pyrroles, vinylphenylenes, and phenylene oxides. Another interesting class of polymers is based on transition metal complexes whose ligands include heteroaromatic moieties containing pendant vinyl or acetyl groups. The presence of easily accessible, metal-based redox states along with the ligands' unsaturated substituent groups results in systems that are easily polymerized by electrochemical means.

In an effort to stabilize conjugated polymers towards photoredox processes, increase their solubility, and enhance their processability, a variety of substituents can be added to the polymer precursors. At the same time, these substituent groups have been shown to have a strong influence on the electronic, optical and mechanical properties of 5 the polymer systems. The electrode arrays and diffusion gradients described herein can provide a powerful new methodology for the synthetic exploration of a larger variety of substituents than ever before possible.

An array of electrodes, for example as illustrated in Fig. 2, has electrodes with individually controlled potentials (V) and currents (j). The material precursors 10 containing the substituent groups are contained in the input stream (feedstock). By combinatorially controlling both the feedstock and the V, j parameters at individual electrodes within the array, stoichiometric and conformational parameter space can be explored in a systematic manner. Variations in V, j across the array gives rise to the desired variations in degree of cross-linking, ligand coupling, etc.

15

Example 5

This example illustrates the use of a 4×4 spatially addressable array for the electrochemical preparation of a ternary Sn-Ru-Pt library having 16 different compositions.

20

In this example, an array of 16 indium tin oxide (ITO) electrodes was fabricated on a glass substrate as illustrated in Fig. 13. Electrodes 1301 within array 1303 are accessible by pads 1305 on the edges of substrate 1307. An insulating layer 1309, which may for example be formed of photoresist, alumina, silica or some other easily deposited insulating layer, allows for the electrochemical deposition of metals from 25 solution onto electrodes 1301, but not on connecting wires 1311.

30

In this example, three different combinatorial approaches to the fabrication of an electrochemically deposited array were explored on a single substrate, illustrating the versatility of the technique. By varying the composition of the electroplating solutions, applying varying potentials to the electrodes, and using metals that have differing reduction potentials, complicated ternary metal compositions can be achieved in a simple 4×4 array. Although not explored in this example, single element serial deposition steps, variations in the time and the amount of current passed, and increasing the number of